

Internal Controls & Control Testing Workshop

2 – 4 February 2027

Kuala Lumpur, Malaysia

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As organizations face increasing regulatory demands and risk scrutiny, the ability to design, implement, and test internal controls effectively has become a critical skillset. This immersive workshop is designed to build foundational and practical competence in control frameworks, documentation, testing, and compliance linkage. Participants will learn how to identify control objectives, evaluate gaps, and improve control performance using global best practices and case-based simulations—ensuring they contribute to stronger governance and regulatory alignment in their organizations.

Overview

Internal controls are critical to effective risk management and regulatory compliance. This three-day workshop provides practical training on designing, documenting, and testing controls, aligned with frameworks like MAS TRM, SFA, and FAA.

Participants will learn to:

- Design and document risk-based internal controls
- Test control effectiveness through walkthroughs and analysis
- Align controls with governance and regulatory expectation

Who should attend

This course is tailored for:

- Risk and control professionals, compliance officers, and internal auditors
- Operations, finance, and IT staff involved in process integrity and controls
- Department heads, team leaders, and control owners in financial and non-financial sectors
- Consultants, regulatory reporting officers, and GRC practitioners
- Anyone looking to strengthen internal control testing and regulatory compliance capability.

Methodology

This workshop employs an interactive, non-theoretical approach, including:

- Scenario-based learning and group discussions
- Hands-on simulations and walkthroughs
- Templates, registers, and real documentation examples
- Peer review and facilitator feedback sessions.

Trainer

Delivered by an industry veteran with over 35 years of experience in control assurance, internal audit, and governance advisory. The trainer has served on expert panels for regulatory compliance, led control improvement programs across Asia-Pacific, and brings a pragmatic, case-based approach to the classroom.

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Course Content

Module – 1

Foundations of Internal Controls and Control Types

- What are internal controls? Their objectives and role in governance
- Importance in financial, operational, and compliance settings
- Types of controls: preventive, detective, corrective
- Examples across finance, operations, and IT

Module – 2

Designing Effective Key Controls

- Identifying key risks and control objectives
- COSO framework application for control design
- Characteristics of effective controls vs. weak ones
- Examples of well-structured vs. poorly designed controls

Module – 3

Documenting and Communicating Controls

- Templates: control registers, RCMs, flowcharts
- Writing clear, concise control descriptions
- Version control and audit trail basics
- Control awareness training within departments

Module – 4

Testing and Evaluating Control Effectiveness

- What is control testing? When and why it matters
- Types of testing: inquiry, observation, inspection, re-performance
- How to perform walkthroughs and gather evidence
- Interpreting test results and identifying gaps

Module – 5

Controls in the Regulatory Context

- MAS TRM Guidelines, SFA, FAA: key highlights
- How internal controls support regulatory compliance
- Mapping controls to obligations (e.g., MAS expectations)
- Industry-specific examples and case illustrations

Module – 6

Practical Simulation – Control Gap Identification & Recommendations

- Simulate a walkthrough for a process (e.g., payment or procurement)
- Identify control gaps and suggest improvements
- Use a control matrix to document findings
- Group presentation and peer feedback

Riverstone Corporate Training

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DELEGATE REGISTRATION FORM

Course fees

(Fees per participant)	**Single**	**Group****
Normal fees	SGD 2,699	SGD 2,599
Early bird price*	SGD 2,499	SGD 2,399

**Payment 30 days before commencement of the course*
***Minimum of 3 participants*

The course fee includes
- 3 days of dedicated expert training
- Lunch and refreshments
- Copies of relevant course material

Venue Details

- All our training sessions are held in good locations, assuring a high level of comfort and a conducive learning environment.
- Due to variation in the number of participants, final venue details will be mailed 14 days before the course commences.

- Registration : 8:30 am
- Session timings: 9:00 am to 5:00 pm
- **Disclaimer:** Riverstone Training reserves the right to change the venue or postpone the course due to unforeseen circumstances.

Company Information

Company Name : _____
Address : _____
_____
_____
_____
Contact Person : _____
Designation : _____
Email : _____
Phone : _____

Delegate Information

Name (1) : _____
Designation : _____
Email : _____
Phone : _____

Name (2) : _____
Designation : _____
Email : _____
Phone : _____

Payment Terms

- Full payment is required for seat confirmation.
- Make a crossed cheque or bank draft payable to **Riverstone SG Pte Ltd.**
- Mail your payment with this registration form to **Level 20, Tower 2, One Raffles Place, Singapore 048616.**
- Alternatively, you can do a bank transfer to Standard Chartered Bank Account no: 0105663336 (Bank no: 9496); Swiftcode: SCBLSG22.

Need in-house training on the same topic?

For in-house training on the same course or customized course, please contact us through

Email : register@riverstonetraining.com.sg
Phone or WhatsApp : +65 9730 4250

Cancellation Policy

- If you are unable to attend, a replacement delegate is always welcome.
- Any cancellation must be made in writing to Riverstone Training at least 14 days before the event date. A full refund, less an administration fee of SGD 150, will be given.
- No refunds will be given for written cancellations received less than 14 days before the event date. However, you will receive a 100% credit voucher that can be applied to any of our subsequent training courses within six months of the initial registration.